



11/30/99

UTILITY PATENT APPLICATION TRANSMITTAL
(Only for new nonprovisional applications under 37 CFR 1.53(b))



JC584 U.S. PTO

09/449887

11/30/99

Docket No. : 36524/RRT/I148
Inventor(s) : Henry C. Yuen
Title : SMART AGENT BASED ON HABIT, STATISTICAL INFERENCE AND
PSYCHO-DEMOGRAPHIC PROFILING
Express Mail Label No. : EL078833378US

ADDRESS TO: Assistant Commissioner for Patents
Box Patent Application
Washington, D.C. 20231

Date: November 30, 1999

1. ☒ **FEE TRANSMITTAL FORM** (*Submit an original, and a duplicate for fee processing.*)

2. **IF A CONTINUING APPLICATION**

___ This application is a ___ of patent application No. .

☒ This application claims priority pursuant to 35 U.S.C. §119(e) and 37 CFR §1.78(a)(4), to provisional Application No. 60/110,301, filed November 30, 1998.

3. **APPLICATION COMPRISED OF**

Specification

35 Specification, claims and Abstract (total pages)

Drawings

12 Sheets of drawing(s) (FIGS. 1 to 14)

Declaration and Power of Attorney

___ Newly executed

☒ Unexecuted declaration

___ Copy from a prior application (37 CFR 1.63(d))(for continuation and divisional)

4. ___ **Microfiche Computer Program** (*Appendix*)

5. ___ **Nucleotide and/or Amino Acid Sequence Submission** (*if applicable, all necessary*)

___ Computer Readable Copy

___ Paper Copy (identical to computer copy)

___ Statement verifying identity of above copies

6. **ALSO ENCLOSED ARE**

___ Preliminary Amendment

___ A Petition for Extension of Time for the parent application and the required fee are enclosed as separate papers

___ Small Entity Statement(s)

___ Statement filed in parent application, status still proper and desired

___ Copy of Statement filed in provisional application, status still proper and desired

UTILITY PATENT APPLICATION TRANSMITTAL
(Only for new nonprovisional applications under 37 CFR 1.53(b))

Docket No.: 36524/RRT/I148

- ☐ An Assignment of the invention with the Recordation Cover Sheet and the recordation fee are enclosed as separate papers
- ☐ This application is owned by pursuant to an Assignment recorded at Reel , Frame
- ☐ Information Disclosure Statement (IDS)/PTO-1449
- ☐ Copies of IDS Citations
- ☐ Certified copy of Priority Document(s) (*if foreign priority is claimed*)
- ☐ English Translation Document (*if applicable*)
- ☒ Return Receipt Postcard (MPEP 503) (should be specifically itemized).
- ☐ Other

7. CORRESPONDENCE ADDRESS

CHRISTIE, PARKER & HALE, LLP, P.O. BOX 7068, PASADENA, CA 91109-7068

Respectfully submitted,

CHRISTIE, PARKER & HALE, LLP

By



Raymond R. Tabandeh
Reg. No. 43,945
626/795-9900

RRT/dsz

**FEE TRANSMITTAL
UTILITY PATENT APPLICATION**

DATE: November 30, 1999

Docket No. : 36524/RRT/I148
Inventor(s) : Henry C. Yuen
Title : SMART AGENT BASED ON HABIT, STATISTICAL INFERENCE AND
PSYCHO-DEMOGRAPHIC PROFILING

FEE CALCULATIONS					
CLAIMS		NUMBER FILED	NUMBER EXTRA	RATE	CALCULATIONS
A	TOTAL CLAIMS	46 - 20 =	26	26 x \$9.00	\$234
B	INDEPENDENT CLAIMS	3 - 3 =	0	0 x \$39.00	\$0
C	SUBTOTAL SMALL ENTITY FEE = A + B LARGE ENTITY FEE = 2 X (A + B)				\$468
D	BASIC FEE SMALL ENTITY FEE = \$380.00 LARGE ENTITY FEE = \$760.00				\$760
E	MULTIPLE-DEPENDENT CLAIMS FEE SMALL ENTITY FEE = \$130.00 LARGE ENTITY FEE = \$260.00				
F	TOTAL FILING FEE (ADD LINES C, D, AND E)				\$1,228
List Independent Claims: 1. 29. 39					


METHOD OF PAYMENT

☒ Payment Enclosed: Check for \$1,228

☒ The Commissioner is hereby authorized to charge any fees under 37 CFR 1.16 and 1.17 which may be required during the **entire pendency** of the application to Deposit Account No. 03-1728. Please show our docket number with any charge or credit to our Deposit Account. **A copy of this letter is enclosed.**

Respectfully submitted,

CHRISTIE, PARKER & HALE, LLP

By 

Raymond R. Tabandeh
Reg. No. 43,945
626/795-9900